



Attention Investors & Disclaimer

Kalpalabdhi Financials Private Limited: SEBI Registration No. INZ000171733 (Member of NSE & BSE) | SEBI Registration No. IN-DP-526-2020 (CDSL) | NSE TM Code: 90149 | BSE TM Code: 6678 | CIN: U51909MH2017PTC294360 | Compliance Officer: Mrs. Vidhi Gandhi. Phone No: +917977753677. Email ID: compliance@kalpalabdhi.com | Registered Address: Shop 1A, Harekrishna Nagar Building, Jawahar Road, Ghatkopar East, Mumbai, Maharashtra – 400077. | For any complaints email at grievance@kalpalabdhi.com

Procedure to file a complaint on [SEBI SCORES](#): Register on the SCORES portal. Mandatory details for filing complaints on SCORES include: Name, PAN, Address, Mobile Number, and E-mail ID. Benefits include effective communication and speedy redressal of grievances. Please ensure you carefully read the [Risk Disclosure Document as prescribed by SEBI](#), along with our [Terms of Use](#) and [Privacy Policy](#).

Disclaimer: Investment in securities market are subject to market risks, read all the related documents carefully before investing.

Brokerage will not exceed the SEBI prescribed limit.

Risk disclosures on derivatives:

- 09 out of 10 individual traders in equity Futures and Options Segment, incurred net losses.
- On an average, loss makers registered net trading loss close to ₹ 50,000.
- Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
- Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

Attention Investors: As per NSE circular dated July 6, 2022, BSE circular dated July 6, 2022, investors are cautioned to abstain them from dealing in any schemes of unauthorised collective investments/portfolio management, indicative/ guaranteed/fixed returns / payments etc. Investors are further cautioned to avoid practices like:

- a) Sharing i) trading credentials - login id & passwords including OTP's., ii) trading strategies, iii) position details.
- b) Trading in leveraged products /derivatives like Options without proper understanding, which could lead to losses.
- c) Writing/ selling options or trading in option strategies based on tips, without basic knowledge & understanding of the product and its risks
- d) Dealing in unsolicited tips through like Whatsapp, Telegram, Instagram, YouTube, Facebook, SMS, calls, etc.
- e) Trading / Trading in "Options" based on recommendations from unauthorised / unregistered investment advisors and influencers.



Kindly, read the Advisory Guidelines for Investors as prescribed by the Exchange with reference to their circular dated 27th August, 2021 regarding investor awareness and safeguarding client's assets : [Advisory Guidelines For Investors](#).

Kindly, read the advisory as prescribed by the Exchange with reference to their circular dated January 14, 2022 regarding Updation of mandatory KYC fields by March 31, 2022: [KYC Updation](#)

Investor Alert:

Prevent unauthorised transactions in your Demat account by updating your mobile number with your depository participant. Receive alerts on your registered mobile number for debit and other important transactions in your Demat account directly from CDSL on the same day. Prevent unauthorised transactions in your Trading account by updating your mobile numbers/email addresses with your stock brokers. Receive information on your transactions directly from the Exchange on your mobile/email at the end of the day. Issued in the interest of investors.

KYC is a one-time exercise while dealing in securities markets - once KYC is done through a SEBI-registered intermediary (broker, DP, Mutual Fund, etc.), you need not undergo the same process again when you approach another intermediary. As a business, we don't give stock tips and have not authorised anyone to trade on behalf of others. If you find anyone claiming to be part of Kalpalabdhi and offering such services, please send us an email at grievance@kalpalabdhi.com.

No need to issue cheques by investors while subscribing to IPO. Just write the bank account number and sign in the application form to authorise your bank to make payment in case of allotment. No worries for refund as the money remains in investor's account.

Stock Brokers can accept securities as margin from clients only by way of pledge in the depository system w.e.f. September 01, 2020.

Update your email id and mobile number with your stock broker / depository participant and receive OTP directly from depository on your email id and/or mobile number to create pledge.

Check your securities / MF / bonds in the consolidated account statement issued by [NSDL/CDSL](#) every month.

Attention Investors: SEBI has established an Online Dispute Resolution Portal (ODR Portal) for resolving disputes in the Indian Securities Market. This circular streamlines the existing dispute resolution mechanism, offering online conciliation and arbitration, benefiting investors and listed companies. https://www.sebi.gov.in/legal/circulars/jul-2023/online-resolution-of-disputes-in-the-indian-securities-market_74794.html

ODR portal for Investors - <https://smartodr.in/login>

Mutual Funds: Top rated funds do not constitute any advice. Please read the offer documents carefully before investing. Kalpalabdhi shall not accept any liability arising out of your investments.

These are not Exchange traded products, and the Member is just acting as distributor. All disputes with respect to the distribution activity, would not have access to Exchange investor redressal forum or Arbitration mechanism.